# A gentle introduction to computational chemistry and density functional theory

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This booklet is updated periodically as a offline version of the material; nevertheless the website will always have the most up to date version of the course. Last updated: 12 Jan 2021

# **The Short Course**

The *Short Course* is designed as a primer for advanced undergraduates and beginning graduate students. It is intended to give the completely inexperienced reader a step-by-step guide to running electronic structure calculations on the AS-CHEM computing cluster at Cornell University, but it is our hope that these instructions are easily generalizable to other computing clusters. The Short Course's main computational engine is <a href="mailto:Gaussian16">Gaussian16</a> since it was originally designed for Collum group members, however a *fully open-source* edition (utilizing Psi4) is currently being written.

Software Linux basics My first script SLURM basics My first SLURM job The Gaussian input file My first Gaussian job Understanding the Gaussian output file Putting it all together: calculating cyclohexane A-values

# **The Long Course**

The Long Course is a work in progress!

The *Long Course* is a set of more advanced topics in scripting and computational chemistry. It was written for those who have, or would like to, incorporate more advanced calculations/models into their research. The topics start by streamlining computational workflow (with bash scripting) and then progress towards the more under-the-hood options of computational engines.

Using bash to streamline computational workflow and data processing So what exactly is an optimization? Cost efficiency: Selecting basis sets and functionals without going overboard Using custom basis sets and effective core potentials What is a transition state? The art of finding transition structures The implicit/explicit solvation war Electronic structure theory

# **Problems**

My least favorite math teacher would always say that the only way to learn calculus is to solve lots of calculus problems. This is a collection of case studies and practice problems that you can use to try your own hand at computational chemistry. Let me know if there's anything else you'd like to see here!

Cyclohexane A-values (from the Short Course) The Smelly Dimer Problem E-Z isomers of 3-(4-nitrophenyl)but-2-en-2-yl triflate

## Resources

The Code Repo: Exercises and Problems

g16 "cheat codes" (routing line templates)

A collection of papers/resources I've amassed over the years.

# **Contributions and Corrections**

This course is a living, breathing work-in-progress so if you spot any typos or if there are topics you'd like to add (to see added) to the course check out the GitHub repo or email me!

# **Supplemental Readings**

The *International Journal of Quantum Chemistry* has published an excellent series of tutorial reviews for novices and professionals alike. I highly recommend looking through them. Below are a few you may find particularly helpful.

Fourteen Easy Lessons in Density Functional Theory by John P. Perdew and Adrienn Ruzsinszky *Int. J. Quantum Chem.* **2012**, *110* (15), 2801 DFT in a nutshell by Kieron Burke and Lucas O. Wagner *Int. J. Quantum Chem.* **2013**, 113 (2), 96 The devil in the details: A tutorial review on some undervalued aspects of density functional theory calculations by Pierpaolo Morgante and Roberto Peverati *Int. J. Quantum Chem.* **2020**, *120* (18), e26332

# The Short course

# 1. Software

The programs engaged in this document were chosen as favorites (or in some cases, relics) of Collum group members. There is a large emphasis on those that are open source and free to use (**listed in bold below**), however there are a plethora of alternatives available across the internet and the following list should be taken as a starting point, not a superlative.

#### You'll need the following programs for this course

Cornell VPN client (for Cornell students who intend to use the AS-CHEM cluster) SFTP client (e.g. **FileZilla**, **putty**) Molecular modeling program (e.g. GaussView, **Avogadro**, SAMSON, etc...) Command-line terminal (e.g. the built in **terminal** on Linux/Mac OS or **cygwin** if you're using a Windows machine) Command-line text editor (e.g. **Vim**, **Vi**, **Nano**; helpful, but not required) A text editor (e.g. **Brackets**, Sublime, **VSCode**, **Notepad++**, etc...) 3D-rendering/ray-tracing software, optional (e.g. **CYLView**, SAMSON, etc)

### FileZilla

FileZilla is an SFTP (secure file transfer protocol) client that we'll use to move files between our own computers and the cluster; we'll have to do this since we can't just wander over to the Baker server room and stick a USB drive into the stacks every time we want our data.

#### Setup

If you haven't talked to your system admin to set yourself up a cluster account then stop here and get that done first. You'll need a fully set up account (on our cluster or your own) to get the most out of this course.

These setup instructions are for the AS-CHEM cluster only, if you're not trying to connect to this cluster, contact your system administrator for instructions on how to transfer files to/from your own system.

- 0. Install and connect to the Cornell VPN
- 1. Download the latest FileZilla release. Follow these instructions to install it.
- 2. Start FileZilla and open the site manager by going to File > Site Manager.
- 3. Add a new connection by clicking "New Site"

		Site Ma	nager			
Select entry:   My Sites  AS-CHM-Cluster  CLAW Cluster  Cornell NMR		Protocol: Host:	General         Advanced           SFTP - SSH File Transfer           cluster2020.chem.cornel	Transfer Settings r Protocol Il.edu	Charset Port:	
			Logon Type:	Normal		
			Password:	•••••		
			Background Comments:	color: None ᅌ		
New site	New folder					
New Bookmark	Rename					
Delete	Duplicate					
					Connect	OK Can

4. Configure the settings for the new site as shown:

	Site Manager
Select entry: Wy Sites Select entry: My Sites CLAW Cluster CCLAW Cluster Cornell NMR	General       Advanced       Transfer Settings       Charset         Protocol:       SFTP - SSH File Transfer Protocol
New site New folder	Logon Type: Normal  User: your_username_here Password:  Background color: None  Comments:
New Bookmark Rename Delete Duplicate	Connect OK Cancel

- Protocol: `SFTP - SSH File Transfer Protocol` - Host: `cluster2020.chem.cornell.edu` - Logon type: `Normal` or `Ask for password` if you want to be asked every time - User: Your cluster logon (typically your netID) - Password: If you selected "Normal" for logon type then enter your cluster password. If "Ask for password" then you will be prompted for your password every time you connect.

5. Click Connect to connect to the cluster and make sure you have everything set up correctly. Your cluster home directory should show up on the right.

	AS-CHM-Cluster - sftp://nml64@cl	uster2020.chem.cornell.edu - FileZilla		
Local site: /Users/nml64/Google Drive/My Drive/	Research Data/Computational I	Remote site: /users/collum/nml64		~
Recipes     Research Data     Computational Data		v ? users v ? collum ▶ mnl64		
Filename 💙	Filesize Last modi	Filename 💙	Filesize	ast mod
<ul> <li>30031a-nit_sing.com</li> <li>xtal_data</li> <li>volume_calcs</li> <li>YM</li> <li>WebMO</li> <li>Sultam_Amides</li> <li>Substrates</li> <li>Solvents</li> <li>RAW_SMD_TS</li> <li>Li_xS-Prop</li> <li>Li_xS-PhAc</li> <li>Li_Sultam_Enolates</li> <li>LiCation</li> <li>JAS</li> <li>Evans_Amides</li> <li>ClusterTests</li> </ul>	29,146 03/16/20 05/29/2C 07/02/20 12/24/20 01/07/20 03/24/2C 11/07/20: 11/01/20: 11/01/20: 12/20/20 12/07/20 10/29/20 11/02/20: 03/24/2C 10/29/20	xyz.sh viminfo .esd_auth .emacs .bashrc .bash_profile .bash_listory Xauthority xtal_data substrates solvents run basis YM WebMO_backup Sultam_Amides ShortCourse RAW_SMD_TS Li_xS-prop Li_xS-PhAc	1,363 08 16,217 12 500 05 1,207 08 176 10 8,431 12 60 06 10 11, 12 10 10 11, 12 12 10 03 12 12 10 03 12 12 10 03 12 12 10 05 10 10	3/15/2C //27/2C //01/20 5/07/2C 3/12/2C //16/20 //16/20 //27/20 //28/2C //0/20 2/22/2C //10/20 2/22/2C //17/20 1/29/2C 8/27/2C 8/27/2C 5/03/2C //29/2C
		L_Suitam_Enolates Li_Cation JAS Evans_Amides	03 10 10 03	3/22/2( )/29/2( )/27/2( 3/24/2(
1 file and 15 directories. Total size: 29,146 bytes		9 files and 23 directories. Total size: 27,988 bytes		

To reconnect in the future just go back to the site manager, select the cluster site and click Connect . Remember that you need to be connected to the Cornell VPN to access the cluster.

### **Command-line terminals**

If you are working on a Mac or Linux machine feel free to skip this part. Linux distributions and MacOS come preinstalled with a command-line terminal.

Technically, Windows machines come with PowerShell (which is its own shell and scripting language (two things you'll learn about in the next lesson)), but for the sake of uniformity and since the cluster runs on CentOS, we'll use a bash shell. Windows users should download and install cygwin. By default cygwin does not come with text editors installed (don't ask me why) so you'll have to go through the setup.exe program to install these packages (start with vim and nano). This blog post may come in handy.

## Molecular modeling programs

#### GaussView

Due to licensing constraints you'll need to visit ChemIT to get GaussView installed on your computer.

#### Avogardo

Avogadro is a free, open-source molecular editor and visualizer that can be used as an alternative to GaussView. It comes fully documented and has built-in compatibility with Gaussian input adn output. Download and install it from SourceForge.

## **Text Editors**

#### Brackets

Brackets is an open-source, lightweight text editor that was built for web designers and front-end developers, but it has access to a the local filesystem a really helpful feature in keeping our files organized. Check out the Brackets Wiki to learn more (always start with the README).

#### VSCode

Visual Studio Code is what's known as an IDE, for integrated development environment. It is open-source, but not lightweight. VS Code is super powerful, with built-in features like line-by-line

debugging, intelligent code completion, built-in terminal, expanded language support, and spell check. If you're just getting started, *this is not the text editor you're looking for*, but as you become more experienced you may find that you want more than a simple code editor. Read the docs to get started.

## **3D-rendering and ray-tracing**

#### **CYLV**iew

CYLView is a free molecular visualizer currently in development by Claud Y. Legault at the University of Sherbrook (Canada). Invaluable for drawing publication-quality chemical structures from computational output. Both versions CYLView1.0 and CYLView20 can be downloaded here. Mac users will need to install XQuartz in order to use CYLView1.0. Its recommended that you install both versions as CYLView20 is still pretty barebones.

# 2. Linux Basics

The AS-CHEM cluster (like many other high-performance computing clusters) runs on a Linux-based operating system called CentOS so navigating its filesystem requires some knowledge of the Unix shell. As this guide is written for those without much computer experience, the more experienced reader may feel free to skip this section.

## What is the shell?

The *shell* is a program that exposes a computer's operating system to a user or another program.<sup>1</sup> It is not the same as the program with which will interact with it: the *terminal*; however, since the terminal is the main mode of communication with a shell, you commonly see these terms used interchangeably.

There are many different shells; the most common, and the one we will use here, is the bourne again shell or bash, but others, like zsh, tcsh, and csh, do and can also be used here with slight modifications.

bash is the command-line *shell*, but it is also the name of the accompanying *scripting language*. So in this course we use the bash program to send commands to the operating system using the bash language.

## Navigating the filesystem

When navigating a computer system via a *command-line* system you exist in a directory (imagine the little streetview guy wandering around a city, or, if you can remember it, Zork) and, unless otherwise specified, commands take action in and on the directory in which you are currently located a.k.a. the current working directory.

When you open terminal you should see something like:

```
user@computer | \sim $
```

This is called the *terminal prompt* or the *command prompt*; it displays your username and the computer that you're logged on to. The  $\sim$  is a shorthand for your home directory, but more on that in a bit. Commands are entered after the \$.

To view the current working directory type pwd :

```
NathanLui@local | ~ $ pwd
/Users/NathanLui
```

#### **Moving around**

To navigate between directories use the command cd followed by the path of the directory you want to enter. For example, to navigate to the Documents folder use cd Documents. Notice how the  $\sim$  changes to display the path to the new directory  $\sim$ /Documents.

```
NathanLui@local | ~ $ pwd
/Users/NathanLui
NathanLui@local | ~ $ cd Documents
NathanLui@local | ~/Documents $ pwd
/Users/NathanLui/Documents
```

The terminal will understand two types of paths: relative or absolute. A directory's **absolute** path begins with / and describes *the exact location of the directory*. The command pwd returns a directory's absolute path. A directory's **relative** path describes the location of a directory *relative to the current working directory*.

In the example above the absolute path of Documents is /Users/NathanLui/Documents , but relative to our home directory the relative path is just Documents .

#### Looking around

Use the command ls to look inside the current working directory.

```
NathanLui@local | ~/Documents $ ls
launchCodes.txt playGame.sh Presentation Slides
```

Of course this doesn't tell us much about these files. So we use the flag -1

```
NathanLui@local | ~/Documents >>> ls -l
total 9688
-rw-r--r-@ 1 NathanLui 45K Jul 6 15:19 launchCodes.txt
-rwxr-xr-x 1 NathanLui 192B Jun 29 11:25 playGame.sh
drwxr-xr-x 9 NathanLui 627B Jun 1 2021 Presentation Slides
```

This tells us more (sometimes more than we want to know) about our files and folders. On the far left of the output is the list of file's permission, or *mode of access*. These modes control what the file is allowed to do and who is allowed to do them. There are 3 access levels for any file: the owner, the group, and everyone. The 10 characters of the permissions section designate **r**ead, **w**rite, and e**x**ecute (run) access for these three groups (plus a general descriptor at the beginning).

For example, the file launchCodes.txt has the access descriptor -rw-r--r- meaning it is a regular file – for which the user (u) can read and write but not execute rw-, my group (g) can only read r--, and others (o) else can only read r--. Whereas, the file playGame.sh is a program, or a shell script. A shell script is run (executed) by the user, so it needs the permission code x to to function properly. Notice above that playGame.sh can be executed by the user, group, and everyone else. Sometimes you'll need to change permissions (usually, you need to give a file executable permission) and this can be done using the chmod command (**ch**ange **mod**e) followed by the new set of permissions. A more detailed explanation of file atributes and permissions can be found here.<sup>2</sup>

#### Making and editing files

To make or edit files in terminal you'll use one of the preinstalled text editors: vi, vim, or nano. My personal favorite is vim. If you don't have experience using a command line text editor it will take a bit of getting used to. A cheat sheet for vim can be found here.<sup>3</sup> nano is the command-line text editor that generally is the easiest to pick up for first-time users. You can find the full documentation<sup>4</sup> and cheat sheet<sup>5</sup> on its website. If editing files in the terminal isn't your style, you can always download your file of interest from the cluster and edit it locally and then upload it back when you're done, but this will get tedious.

To edit or create a file simply type vim /path/to/file . If the file already exists vim will open it and you can edit to your heart's content (of course, it should go without saying that text editors can only

edit text based files). If the system can't find the file vim will open a blank file with the path/name that you specified. vim will not save that file until you write it with :w.

## Asking for help

Help will always be given in Linux to those who ask for it.

-Harry Potter's IT teacher, probably...

These are the essential, but very basic Unix commands to get you started. There can be quite the learning curve when transitioning from a graphical system to the command line so I'll leave you with two of the most important commands to remember when you're stuck: man and apropos.

Say you want to take a peek at the permissions of a certain file, but you can't remember the flag for the detailed output. The man ls command brings up the manual page for ls. In it you'll find detailed documentation for the command including its signature, description, options, examples, and related commands. To exit the manual page press q. The Linux manual is also available online.<sup>6</sup>

Now, that's nice if you know what command you need for, but say you want to make a new directory (folder) and you're not sure how. This is where apropos comes in.

```
NathanLui@local | ~ $ apropos make directory
...
makewhatis(8) - create whatis database
makewhatis.local(8) - start makewhatis for local file systems
mkdir(1) - make directories
mkfifo(1) - make fifos
mklocale(1) - make LC_CTYPE locale files
...
```

Apropos searches the linux manual pages for the query and returns results sorted *alphabetically*.

#### Other useful commands

```
mkdir </path/to/directory>
rmdir </path/to/directory>
rm </path/to/file>
mv </path/to/file> </path/to/directory>
cp </path/to/file> </path/to/directory>
cat </path/to/file>
bash </path/to/file>
```

Make a new directory Remove a directory Remove a file Move file to new directory Copy file to new directory Display the contents of a file Run the executable

## Let's build a program

Go to the next lesson to write your own script!

#### References

- (1) Shell (computing)
- (2) File permissions
- (3) https://www.radford.edu/~mhtay/CPSC120/VIM\_Editor\_Commands.htm
- (4) nano documentation
- (5) nano cheat sheet
- (6) The Linux Manual

# 3. My First Script

Let's write a script in bash! We'll do this using the command line (with vim), but feel free to use any text editor.

Navigate to your home directory and open a new file named hello.sh.

```
NathanLui@local | ~ $ vim hello.sh
```

In vim, type i to enter insert mode and type:

#### #!/bin/bash

```
echo "Hello world!"
```

The first line is called the *shebang* (a portmanteau of ha**sh** (#) and **bang** (!)).<sup>1</sup> It tells the operating system where to find the *interpreter* for the program. In this case we are telling the OS that this script can be read and run by bash which is located at /bin/bash. Many different interpreters can be used as an alternative to bash, for example #!/bin/python2.7 tells the OS that this script is written in python and it should be run using an old version of python (2.7) located at /bin/python2.7.

Recall that bash is both the shell and the scripting language, so bash commands we give, also known as the syntax, in the script are executed by the interpreting program /bin/bash.

#### Comments

The interpreter doesn't treat this line as a program call since it starts with #, the bash comment symbol. Any text in a bash script that is preceded with a # will be ignored by the interpreter. Note that different languages have different comment symbols/types (e.g. (\* 0Caml \*), % MATLAB, // Java, <!-- HTML --->, etc...). Comments within your code serve two purposes:

- 1. when **other people** read your code they understand your thinking and how you chose to implement the program, and
- when you read your code, months or years later, you understand your thinking and how you chose to implement the program

Comment wide and comment often, but don't comment the obvious!

Now back to our script, press esc to back out of insert mode and type :wq to write the file and quit vim. If you're doing this in a graphical text editor save the file to the home directory with the name hello.sh.

Now let's look for our new file in the home directory:

```
NathanLui@local | ~ $ ls
launchCodes.txt playGame.sh Presentation Slides
hello.sh
```

There it is! So lets run it with the command bash hello.sh .

NathanLui@local |  $\sim$  \$ bash hello.sh The command was not found or was not executable

That's not good! We're sure the file exists, so it must be our access modes. Let's check:

NathanLui@local | ~ \$ ls -l -rw-r--r-- 1 NathanLui Users 33B Dec 21 13:21 hello.sh

There's the issue, not a problem since it's one we already know how to fix.

NathanLui@local | ~ \$ chmod +x hello.sh# n.b. the +x gives xNathanLui@local | ~ \$ ls -l# permission to everyone-rwxr-xr-x1 NathanLuiUsers33B Dec 21 13:21 hello.sh

Now our program should run without a hitch.

NathanLui@local | ~ \$ bash hello.sh Hello world!



Lets try something a bit more difficult. Open that script back up with vim hello.sh, add a variable called food and give it a value (like your favorite food):

#!/bin/bash

```
echo "Hello world!"
food="pizza"
```

Now let's call that variable with:

```
echo "My favorite food is $food."
```

The \$ tells the interpreter that we want the object stored in the variable food . Our full script now looks like:

When we run it, the script now prints:

NathanLui@local   ~ \$ bash hello.sh	<pre># no need to change permissions</pre>
Hello world!	<pre># this time since we did it</pre>
My favorite food is pizza.	<pre># for this file earlier</pre>

Look at you go! One last thing that we should talk about is an environmental variable. An environmental variable is one whose value is set outside the program. Let's edit our script one more time. Append the line:

```
echo "I am $age years old."
```

So our script is now:

1	#!/bin/bash
2	
3	echo "Hello world!"
4	food="pizza"
5	echo "My favorite food is \$food."
6	echo "I am \$age years old."
hell	loEnv.sh hosted with ♥ by GitHub view raw

But we haven't declared the age variable yet. In some languages this would throw an error, but if we run our program we see:

```
NathanLui@local | ~ $ bash hello.sh
Hello world!
My favorite food is pizza.
I am years old.
```

This is because bash automatically initializes uninitialized variables to null at first use (i.e. it has no value). So how do we get the script to print our age? We can initialize age as an environmental variable and export it to our script. We can do this in one step using export.

```
NathanLui@local | ~ $ export age=26
NathanLui@local | ~ $ bash hello.sh
Hello world!
My favorite food is pizza.
I am 26 years old.
```

You might be wondering why would ever need to do this. Often times we'll be working with programs and complex algorithms that can't be easily modified, or we'll want to set variables once instead of every single time we run the program. These tasks can be easily accomplished using environmental variables.

Scripting is useful for more than telling the world your favorite food and how old you are. Its our primary way of sending instructions to the cluster. When we submit jobs to the CHEM cluster's resource manager **SLURM** we do so in the form of shell scripts. More on that in the next chapter.

If you want to read more about the power of scripting I wholely recommend AI Sweigart's book Automate the Boring Stuff with Python, a fantastic (and free) resource for budding programmers (and busy grad students).<sup>2</sup>

#### References

(1) Shebang (Unix)(2) Automate the Boring Stuff with Python

# 4. SLURM

SLURM, formerly known as the Simple Linux Utility for Resource Management, is a type of program called a workload manager.<sup>1</sup> On large, multi-user systems it can be advantageous and equitable for a program to control the allocation of computational resources, SLURM does just that.

When you want to run a job on the CHEM cluster you have to ask the <u>SLURM</u> daemon for resources to allocate to your job. It then takes your script, figures out how much compute power you want, and, if the nodes/memory are available, runs your script on them. If not, it places them in a queue until the requested resources become available to you.

SLURM has its own set of commands, and its full documentation can be found here,<sup>2</sup> but here we'll go over only the most important ones: sinfo, pestat, squeue, sbatch, and scancel.

#### **Gathering information**

sinfo gives us information about the status of the cluster's computing nodes (a node is a single computer in the cluster).

```
nml64@as-chm-cluster | ~ $ sinfo
                TIMELIMIT NODES STATE NODELIST
PARTITION AVAIL
                 infinite
                              1 alloc chem001
chemq
            up
                 infinite
                                  idle chem[002-006]
chemq
            up
                              5
                 infinite
                              4 down* dbc[001-003,005]
collumq
            up
                                   mix dbc009
collumg
                 infinite
                              1
            uр
collumq
                 infinite
                              1 alloc dbc007
            up
                                  idle dbc[004,006,008,010]
collumg
                 infinite
                              4
            up
widomq
                 infinite
                              1 drain bw001
            up
                                  mix bw007
widomq
            up
                 infinite
                              1
                                  idle bw[002-006]
widomq
                 infinite
                              5
            gu
```

By default, sinfo lists the nodes by their partition; the highest level of cluster organization (a **partition** is a set of **compute nodes**). On our shared system nodes are partitioned by ownership, but other systems may have partitions based on usage (e.g. large jobs, small jobs, post-processing, data visualization, etc...) allowing the admin to install different programs on different partitions.

By default <u>SLURM</u> commands only show us nodes we have access to (more on how to change that below). So, for example, in the above snippet we have 3 partitions chemq, collumq, and widdomq. collumq has 10 total nodes, 4 of which (dbc1-3 and dbc5) are currently down, 1 of which (dbc7) is fully allocated to a running job, 1 of which (dob9) is mixed, which means it still has resources available, and 4 of which (dbc4,6,8,10) are idle. sinfo doesn't provide the most readable output, so sometimes its easier to use pestat.

Notice how the terminal's command prompt has changed from NathanLui@Local to nml64@as-chm-cluster. This is because I'm now connected to the cluster, instead of working locally on my own computer (more on how to do this in the next section).

pestat is quite similar to sinfo -N (-N provides a node-oriented view of the cluster), but I find the layout much easier to read. pestat also gives us data as to the CPU and memory capacities of each node which will be helpful later.

nml64@as-chm	–cluster   ~ \$	pestat						
Hostname	Partition	Node	Num_	CPU	CPUload	Memsize	Freemem	Joblist
		State	Use/	Tot		(MB)	(MB)	JobId User
bw001	widomq	drain*	0	12	0.00	48277	37640	
bw002	widomq	idle	0	12	0.00	64382	62151	
bw003	widomq	idle	0	12	0.00	64382	62139	
bw004	widomq	idle	0	12	0.00	64382	62144	
bw005	widomq	idle	0	12	0.00	64382	62135	
bw006	widomq	idle	0	12	0.00	64382	62135	
bw007	widomq	mix	2	12	1.00*	64382	14752	8609 m
chem001	chemq	alloc	16	16	15.98	31935	24991	8691 j
chem002	chemq	idle	0	16	0.00	31935	29712	
chem003	chemq	idle	0	16	0.00	31935	29711	
chem004	chemq	idle	0	16	0.00	31935	29721	
chem005	chemq	idle	0	16	0.00	31935	29728	
chem006	chemq	idle	0	16	0.00	31935	29730	
dbc001	collumq	down*	0	8	0.00*	16032	0	
dbc002	collumq	down*	0	8	0.00*	7968	0	
dbc003	collumq	down*	0	8	0.00*	16032	0	
dbc004	collumq	idle	0	16	0.00	24085	21791	
dbc005	collumq	down*	0	16	0.00*	24085	0	
dbc006	collumq	idle	0	16	0.00	24085	21805	
dbc007	collumq	alloc	12	12	11.96	32126	26506	8652 nml64
dbc008	collumq	idle	0	12	0.00	32126	29959	
dbc009	collumq	mix	12	40	11.82	192049	182642	8679 nml64
dbc010	collumq	idle	0	40	0.00	192049	189551	

squeue displays the current job queue:

nml64@as-o	chm-cluste	r   ~ \$ sq	ueue				
JOBID	PARTITION	NAME	USER	ST	TIME	NODES	NODELIST
8609	chemq	matlab_t	m	R	5-13:38:51	1	bw007
8652	collumq	trans–Na	nml64	R	<b>3</b> -20:58:56	1	dbc007
8679	collumq	cis—NaTB	nml64	R	<b>1</b> -03:59:54	1	dbc009
8691	chemq	A2HMPA3_	j	R	<mark>39:</mark> 33	1	chem001

Notice how squeue gives us a lot of information about the running jobs; it tells us the job number, who's running the job, the number of node(s), which node(s), their respective partitions, and how long the jobs have been running for.

By default, squeue and sinfo only gives us data on the nodes we have permission to use, but if we wanted to check on other nodes we can use the -all switch.

nml64@as-chm-cluster | ~ \$ sinfo -all

Tue Dec 21 15:29:47 2021

PARTITIO	N AVAIL	TIMELIMIT	JOB_SIZ	E ROOT	<b>OVERSUBS</b>	GROUPS	NODES	STATE	NODEL:
chemq	up	infinite	1-infinit	e no	NO	chemit,col	1	allocated	chem0(
chemq	up	infinite	1-infinit	e no	N0	chemit,col	5	idle	chem[(
slinq	up	infinite	1-infinit	e no	N0	slin,chemi	1	allocated	sl001
slinq	up	infinite	1-infinit	e no	N0	slin,chemi	1	idle	sl002
wilsonq	up	infinite	1-infinit	e no	N0	wilson,che	2	idle	jjw[0(
chenq	up	infinite	1-infinit	e no	N0	chen,chemi	1	mixed	pc002
chenq	up	infinite	1-infinit	e no	NO	chen,chemi	1	idle	pc001
loringq	up	infinite	1-infinit	e no	NO	loring,che	2	down*	rl[00:
loringq	up	infinite	1-infinit	e no	NO	loring,che	1	drained	rl004
loringq	up	infinite	1-infinit	e no	NO	loring,che	1	idle	rl002
collumq	up	infinite	1-infinit	e no	NO	collum,che	4	down*	dbc[0(
collumq	up	infinite	1-infinit	e no	NO	collum,che	1	mixed	dbc00
collumq	up	infinite	1-infinit	e no	NO	collum,che	1	allocated	dbc00
collumq	up	infinite	1-infinit	e no	NO	collum,che	4	idle	dbc[0(
widomq	up	infinite	1-infinit	e no	NO	chemit,col	1	drained	bw001
widomq	up	infinite	1-infinit	e no	NO	chemit,col	1	mixed	bw007
widomq	up	infinite	1-infinit	e no	NO	chemit,col	5	idle	bw[002
lambertq	up	infinite	1-infinit	e no	NO	lambert,ch	2	allocated	tl[00:
nml64@as	-chm-clu	uster   ~ \$	squeue -a	11					
Tue Dec	21 15:30	0:50 <mark>2021</mark>							
JOBID PA	RTITION	NAME	USER	STATE	TIN	1E TIME_LIMI	T NODE	S NODELIST	(REASO
8590	slinq	B3LYP-D3	y R	UNNING	6-01:40:4	16 UNLIMITED		1 sl001	
8608	chenq	matlab_t	m R	UNNING	5-13:51:2	21 <b>37</b> -12:00:	00	1 pc002	
8609	widomq	matlab_t	m R	UNNING	5-13:48:4	40 <b>37</b> -12:00:	00	1 bw007	
8614	chenq	matlab_t	m R	UNNING	5-01:41:3	36 <b>37</b> -12:00:	00	1 pc002	
8652	collumq	trans–Na	nml64 R	UNNING	3-21:08:4	15 <b>17</b> -12:00:	00	1 dbc007	
8679	collumq	cis—NaTB	nml64 R	UNNING	1-04:09:4	13 <b>17</b> -12:00:	00	1 dbc009	
8686	slinq	B3LYP-D3	y R	UNNING	<mark>3:</mark> 18:4	46 UNLIMITED		1 sl001	
8688	slinq	B3LYP-D3	y R	UNNING	52:0	00 UNLIMITED		1 sl001	
8691	chemq	A2HMPA3_	j R	UNNING	49:2	22 <b>17</b> –12:00:	00	1 chem001	
8692 l	ambertq	Dimer-6m	k R	UNNING	19:0	07	0	1 tl001	
8693 l	ambertq	Dimer-6m	k R	UNNING	19:0	07	0	1 tl002	

There are many switches you can use to filter the output of squeue and sinfo by user --user, partition --partition, node state --state, etc.

These are some of the most important commands we'll use in this tutorial. A short cheat sheet can be found here.<sup>3</sup>

## Submitting jobs

sbatch and scancel are mirror commands. sbatch <script> submits the job script to the SLURM daemon for resource allocation, and returns a job ID number. scancel <job ID> cancels a job after allocation i.e., before or after a job starts running. Any files that have already been written

will be preserved as they are when scancel is executed (keep this in mind if you choose to write any large scratch files to your job directory instead of /scratch). In the next section, we'll learn about how to format submission scripts and submit our first SLURM job.

#### References

- (1) SLURM Workload Manager
- (2) SLURM Documentation
- (3) SLURM Cheat Sheet

# 5. My First SLURM Job

Now that we know how to gather information about the system, how do we ask it to run a job for us?

SLURM needs to know two things to run a job: what we want to do and the resources we need to do it. We'll use a *shell script* to specify both of these parameters.

Let's make a new script called submit.sh. In your text editor copy and paste the following (minimal) submission script:

```
#!/bin/bash
 1
 2
 3
    #SBATCH -p chemq
                             # submit to partition: chemq
    #SBATCH –J hello
                              # job name
 4
    #SBATCH -o out.txt
                              # name output file
 5
    #SBATCH -N 1
                                # run on one node
 6
 7
    #SBATCH --mem=0
                                # allocate all available memory
8
 9
     # \wedge \wedge \wedge Above are the resource requests \wedge \wedge \wedge
     # vvv Below are the job tasks vvv
10
11
12
     echo 'Starting job'
     bash hello.sh
                                # run our first script from the
13
     echo 'Resting 30 sec'
14
                              #
                                    previous exercise
     sleep 30
                                # do nothing for 30 sec
15
16
     echo 'Ending job'
```

submit.sh hosted with ♥ by GitHub

view raw

Save this file in its own folder with a descriptive name like myFirstSlurmJob. Place the script hello.sh from the first exercise into this folder too. Now, in order to run this job you need to be on a system that is managed by SLURM. So let's log on to the AS-CHEM cluster.

You'll need to be connected to the Cornell VPN to access the cluster. If you are a Cornell chemistry student and don't have access to the cluster go see ChemIT (or your group IT representative) to set up your cluster account. If you are not a Cornell chemistry student you'll need to follow your institution's cluster login instructions. Depending on how your cluster is set up some of the instructions below may not work, when in doubt contact your system administrator.

Open up the command-line, type ssh <yourNetID>@cluster2020.chem.cornell.edu and you'll see a password prompt appear. As you enter your password **nothing will appear**; this is normal. The terminal is recording your keystrokes as usual, but will not display them for security purposes.

```
NathanLui@local | ~ $ ssh nml64@cluster2020.chem.cornell.edu
Password:
Last login: Sun Dec 26 14:04:09 2021 from <IP address>
nml64@as-chm-cluster | ~ $
```

See how the terminal prompt has now changed from NathanLui@local to nml64@as-chm-cluster to indicate that I'm now working on the cluster. We can navigate the cluster with the same commands we learned earlier. To test our script we'll need to use our SFTP client (FileZilla) to transfer our scripts to the cluster. If you haven't yet, go set up FileZilla using the directions in section 1. Once you've done that, open FileZilla and connect to the AS-CHEM cluster. Drag your whole myFirstSlurmJob folder into the cluster pane to transfer it.

AS-CHA	/-Cluster - sftp:/	/nml64@c	luster2020.chem.cornell.edu - FileZilla 🎅 🚓		
Local site: /Users/nml64/Documents/Computations/		~	Remote site: /users/collum/nml64/ShortCourse		~
CitationExtractor Computations Basis files Schenk Ene Rxn - Practice		0	vass     run     solvents     substrates     tutorial		0
Filename 🗸	Filesize	Last modi	Filename 🗸	Filesize Last	modifie
			<b>—</b>		
<ul> <li>*\$bMO Keywords 2020.docx</li> <li>xyz.sh</li> <li>g16 keyword cheat sheet.docx</li> <li>custom basis set instructions.docx</li> <li>WebMO Keywords 2021.docx</li> <li>WebMO Keywords 2020.docx</li> <li>WebMO Keywords 2019.docx</li> <li>WebMO Keywords 2019.docx</li> <li>Memory and processor limits for HPC nodes.docx</li> <li>Fg16T0gv5</li> <li>DFT benchmarks.xlsx</li> </ul>	162 1,363 13,834 13,414 15,966 26,302 14,900 13,466 298 11,847	09/28/20 08/15/20 09/24/20 10/30/20 08/14/20 08/11/20 04/14/20 11/03/20 08/13/20 12/05/20	myFirstSlurmJob	12/2	6/2021
CompChem SOP.docx  CompChem SOP copy.docx  .DS_Store  runfiles	36,849,275 35,340,051 12,292	10/23/20 01/20/20 12/26/20 12/24/20			
myFirstSlurmJob Tutorial2 Schenk Ene Rxn - Practice Basis files		12/26/20 12/26/20 07/02/20 10/29/20			
Salastad 1 directory			1 directory		
Selected Fullectory.			Tunectory		0.0

Of course, you have the option to create a new folder on the cluster directly using mkdir and then drag the individual shell scripts into that file, but as your experience grows as will the number of files you'll have to keep track of. It will be much more manageable if the organization of your local system mirrors that of the cluster. Transferring whole directories ensures that paths will remain the same. For more, see best practices.

Now, lets navigate into that folder and take a look:

```
nml64@as-chm-cluster | ~ $ cd myFirstSlurmJob/
nml64@as-chm-cluster | ~/myFirstSlurmJob $ ls -l
total 8.0K
-rwxr-xr-x 1 nml64 collum 79 Dec 26 15:59 hello.sh
-rwxr-xr-x 1 nml64 collum 338 Dec 26 15:59 submit.sh
```

Now we can submit our job to the **SLURM** workload manager:

```
nml64@as-chm-cluster | ~/myFirstSlurmJob $ sbatch submit.sh
Submitted batch job 8716
```

Checking the job queue and node status shows us the progress of our new job:

```
nml64@as-chm-cluster | ~/myFirstSlurmJob $ squeue
 JOBID PARTITION
                   NAME
                            USER ST
                                          TIME NODES NODELIST(REASON)
 8716
          chemq
                   hello
                           nml64 R
                                          0:16
                                                   1 chem006
nml64@as-chm-cluster | ~/myFirstSlurmJob $ pestat
              Partition
                           Node Num CPU CPUload Memsize Freemem
Hostname
                                                                   Joblist
                          State Use/Tot
                                                    (MB)
                                                             (MB)
                                                                   JobId User ...
. . .
                            mix 16 16
                                           16.00
                                                   31935
                                                            29651 8716 nml64
chem006
                  chemq
. . .
```

But wait a second! Where is our output? We've tasked 16 CPUs with 28 GB of memory to tell the whole world "Hello!", where did it all go? Let's take a look at our directory.

```
nml64@as-chm-cluster | ~/myFirstSlurmJob $ ls -l
total 12K
-rwxr-xr-x 1 nml64 79 Dec 26 15:59 hello.sh
-rw-r--r-- 1 nml64 81 Dec 26 17:35 out.txt
-rwxr-xr-x 1 nml64 338 Dec 26 15:59 submit.sh
nml64@as-chm-cluster | ~/myFirstSlurmJob $ cat out.txt
Starting job
Hello world!
My favorite food is pizza.
I am years old.
Resting 30 sec
Job complete
```

So that's where its all gone to! SLURM redirects all standard output from the terminal to the output file that we specified in the resource requests section.

There's still another issue! The program doesn't know how old we are because the environmental variable we declared in the last tutorial doesn't get transferred with the file (i.e. we're in a different environment). So we have to redeclare age in this environment.

```
1
     #!/bin/bash
 2
 3
     #SBATCH -p chemq
                                    # submit to partition: chemq
     #SBATCH –J hello
                                    # job name
 4
     #SBATCH -o out.txt
                                    # name output file
 5
     #SBATCH -N 1
 6
                                    # run on one node
     #SBATCH --mem=0
                                    # allocate all available memory
 7
 8
     # \wedge \wedge \wedge Above are the resource requests \wedge \wedge \wedge
 9
           \vee \vee \vee Below are the job tasks \vee \vee \vee
     #
10
11
12
     echo 'Starting job'
```



This fixes our issue and if we run the job again we can see that the script works as it's supposed to!

```
nml64@as-chm-cluster | ~/myFirstSlurmJob $ sbatch submit.sh
Submitted batch job 8719
nml64@as-chm-cluster | ~/myFirstSlurmJob $ ls -l
total 12K
-rwxr-xr-x 1 nml64 collum 107 Dec 26 17:46 hello.sh
-rw-r--r-- 1 nml64 collum 100 Dec 26 17:56 out.txt
-rwxr-xr-x 1 nml64 collum 352 Dec 26 17:55 submit.sh
nml64@as-chm-cluster | ~/myFirstSlurmJob $ cat out.txt
Starting job
Hello world!
My favorite food is pizza.
I am 26 years old.
Resting 30 sec
Job complete
```

# 🍾 🌂 Congrats!!! You just ran your first SLURM job 🍾 🌂

#### **SLURM** will overwrite data files with the same name

One important thing to note is that we ran this job multiple times *in the same directory*. So **SLURM** wrote over out.txt the second time we ran the job. There is **no way** to get back our first out.txt (trivially, you could scroll up in the terminal history looking for our previous cat out.txt call, but this isn't really a generalizable solution). This could be problematic since we might not remember how we got to the previous out.txt and how to recreate its results. In general, a single folder should represent a single program call so that unintentional overwrites cannot happen. In other words:

Every new job should begin in its **own** new folder.

In the next section, we'll talk about the final part of our recipe: the Gaussian input file.

# 6. The Gaussian Input File

Gaussian16 (g16) input files are plain text files that end in .com or .gjf. They can be generated using a molecular modeling program like GaussView or Avogadro or in a simple text editor (provided one has the atomic coordinates already). The general format of a Gaussian input file is given below accompanied by a short description of each section.

Link 0 commands	! Specifies memory, CPU, and other job information
Routing information	! Specifies job parameters
<< <blank line="">&gt;&gt;</blank>	
Title line	! Free-format comment line
<< <blank line="">&gt;&gt;</blank>	
Charge and Multiplicity	! Space delimited
Molecule specification(s)	! Cartesian, Z-matrix, or Redundant Internals
<< <blank line="">&gt;&gt;</blank>	
Job/option specific input	! See g16 manual
<< <blank line="">&gt;&gt;</blank>	
<< <blank line="">&gt;&gt;</blank>	

### Formatting and syntax

In general, Gaussian input files follow simple and flexible syntax and grammar rules:<sup>1</sup>

- Inputs are case-insensitive i.e., opt, OPT, and oPt request the same optimization job
- Comments are made using the exclamation point (!)
- External files can be read into an input using the syntax: @path/to/file
- Keywords and keyword options can be specified using the following options:
  - keyword=option
  - keyword(option)
  - keyword=(option1, option2, ...)
  - keyword(option1, option2, ...)

#### Link 0

Link 0 command lines begin with the percent % symbol and set program control options such as resource limits, whether to save and what to name scratch files, where to access old scratch files, etc... The link 0 commands are detailed here.<sup>2</sup> Each link 0 command requires its own line. Every link 0 option can also be called as a command line flag in your shell script or passed to Gaussian as an environmental variable. Equivalent commands and the corresponding precedence are detailed under the "Equivalencies" tab in the link above.

This section (and everything else in the input file) is an instruction to Gaussian, not SLURM. The memory and CPU allocations specified in the input file should, ideally, be equal to those requested from SLURM (so that there is no "wasted" computing power), but must not be greater than those requested from SLURM, otherwise Gaussian will attempt to allocate more resources than has been made available to it which will lead to job failure.

#### The .chk file

A common link 0 command is %chk, used to save the checkpoint file from the calculation (typically deleted with other scratch files when the job is completed). Every iteration of a calculation Gaussian saves an image of job in the checkpoint file (like a savepoint) allowing the user to go back and restart a failed job. In addition to various savepoints, the checkpoint file also allows the user to view the molecular orbitals in GaussView. Additionally, specifications (e.g. basis set, functional, molecular geometries, etc...) can be read from the checkpoint file for future jobs; however, this means the .chk file can get very large (on the order of 100s of mb). Saving .chk files indiscriminately is unadvisable unless you have ample storage space.

## **Routing information**

The routing line of the Gaussian job file begins with the pound/hash # symbol. The letter following the # determines the level of output. The options are #P, #N, & #T which provide verbose, normal, and terse levels of description in the job's output file. The default option is #N (which can shortened to #). The options that follow are referred to by Gaussian as "keywords" and are responsible for setting up the requested calculation.<sup>3</sup>

The routing line continues with a method and basis set declaration in single-slash notation. If no options are specified the default method is a Hartree-Fock calculation (HF) using the minimal Slater-type orbital basis functions (STO-3G), given as HF/STO-3G. g16's built in DFT methods and basis sets are available in the documentation. The choice of functional and basis set are discussed further in a later section.<sup>4,5</sup>

With the advancement of computational chemistry and the availability of computing resources there is no reason to perform the default HF/STO-3G computation. The most commonly used theory/basis combination in computational organic chemistry is the infamous Becke, 3-parameter, Lee-Yang-Parr (B3LYP) hybrid-exchange correlated functional used in combination with the 6-31g\* basis set.

The final required keyword in the routing line is the type of calculation. Typical calculations include geometry optimizations (Opt), vibrational frequency analysis (Freq), single-point molecular energy

calculations (SP), NMR shift calculations (NMR), etc... A full list of g16's computational capabilities can be found here.<sup>6</sup> These job keywords can be specified on their own or with various options.

A calculation minimally requires a functional, basis set, and the type of calculation; however, it is usually necessary to specify customizable options such as implicit solvation, temperature (for frequency analysis), initial guesses, counterpoise correction, empirical dispersion correction, extra basis functions, effective core potentials (i.e., pseudopotentials), etc... These options are specified from the routing line using their respective keyword options.<sup>3</sup> The full list of Gaussian keywords and their availbe options can be found in the g16 documentation.<sup>7</sup>

Keywords can be specified in any order. The routing section is fully reproduced in the output file and terminated by a blank line.

#### Integration grid size

All DFT methods implemented in Gaussian involve a grid-based numerical integration of the functional (or its derivatives). Consequently, the accuracy of a DFT calculation is dependent on the resolution of the integration grid. In Gaussian16 the default integration for all DFT functionals is calculated over a pruned grid with 99 radial shells and 590 angular points, denoted (99,590), and specified by the keyword Integral(grid=ultrafine). This is sufficient for the vast majority of all calculations. Using a smaller grid, while faster, is not recommended for most DFT calculations. Lastly, energy comparisons must be done using the **same grid size**.<sup>4</sup>

## Job title

The title/comment line is plaintext that is reproduced in the Gaussian output file and terminated by a blank line.

## Charge and multiplicity

The charge and multiplicity of the system is given before the molecule specification in standard convention separated by a space. For example, -1 1 describes an anionic singlet state.

## **Molecule specification**

The molecule specification can be given in either standard cartesian (xyz) or internal (Z-matrix) coordinates. Note that specification in one coordinate system or another will not dictate what coordinates will be used to perform the optimization itself which defaults to Gaussian 's redundant internal coordinates, but can be changed by specifying an option in the Opt keyword.

This section may be omitted altogether if reading the start geometry from a pre-existing file (such as a checkpoint (.chk) file) using the geom=checkpoint option.

The molecule specification is terminated by a blank line.

## Job/keyword options

Some keyword options require additional input (such as specifying ECPs or additional basis functions). This additional information is placed after the molecule specification and each individual keyword's specifications are terminated by blank lines.

The input file is terminated by two blank lines.

# A simple g16 input file

Below is a fully functional Gaussian input file for a single point molecular energy calculation. Copy + paste it into a text editor and save it as coolMolecule.com and see if you can figure out what we're trying to do in the next section. (Hint: open it up in your favorite molecular editor/viewer)

%NPROCSHARED=16
%MEM=28GB
%Chk=coolMolecule.chk
#N M062X/def2tzvp SP

My cool molecule

01 С C 1 B1 C 2 B2 1 A1 C 3 B3 2 A2 1 D1 0 C 4 B4 3 A3 2 D2 0 C 1 B5 2 A4 3 D3 0 H 3 B6 2 A5 1 D4 0 H 2 B7 1 A6 6 D5 0 H 1 B8 6 A7 5 D6 0 H 1 B9 6 A8 5 D7 0 H 4 B10 3 A9 2 D8 0 H 4 B11 3 A10 2 D9 0 H 5 B12 4 A11 3 D10 0 H 5 B13 4 A12 3 D11 0 H 6 B14 1 A13 2 D12 0 H 6 B15 1 A14 2 D13 0 H 3 B16 2 A15 1 D14 0 C 2 B17 1 A16 6 D15 0 H 18 B18 2 A17 1 D16 0 H 18 B19 2 A18 1 D17 0 H 18 B20 2 A19 1 D18 0 B1 1.51510600 B2 1.51517951 B3 1.51543497 Β4 1.51512522 B5 1.51498514 B6 1.12087116 B7 1.12176819 B8 1.12177478 B9 1.12093060 B10 1.12176062 B11 1.12091101 B12 1.12095758 B13 1.12181557 B14 1.12176019 B15 1.12097986 B16 1.12168148 B17 1.54000000 B18 1.07000000 B19 1.07000000

B20

1.07000000

! run on 16 parallel cores
! run with 28 gb memory
! save a checkpoint file
! calculate single-point energy

! neutral singlet
! starting geometry for
! a molecule specified in
! gaussian internal coordinates

! to save space bond lengths, ! angles, and dihedrals are all ! specified as variables

! g16 doesn't support comments ! in the molecule specification ! so get rid of these comments ! before you try to run this job

A1	111 <b>.</b> 36252447
A2	111.24127560
A3	111.26574203
A4	111.30936835
A5	109.59001104
A6	109.39681635
A7	109.40740597
A8	109.57492433
A9	109.41106707
A10	109.58676541
A11	109.55885178
A12	109.38713435
A13	109.41082787
A14	109.56859847
A15	109.42519797
A16	109.55953690
A17	109.47120255
A18	109.47120255
A19	109.47123134
D1	55.25714299
D2	-55.23663791
D3	-55.19282652
D4	176.59526965
D5	65.84971766
D6	-65.94975705
D7	176.44368868
D8	65.79362957
D9	-176.57422515
D10	176.61579480
D11	-65.80639557
D12	-65.96332262
D13	176.42532586
D14	-65.75731388
D15	-176.56184324
D16	-178.76723016
D17	-58.76721537
D18	61.23277724

#### References

- (1) Gaussian input file syntax
- (2) Link 0 commands
- (3) Gaussian keyword list
- (4) DFT methods
- (5) Basis sets

(6) Gaussian capabilities

(7) Gaussian16 documentation

# 7. My First Gaussian Job

If you've been following along then you *almost* have all the pieces to run your first Gaussian job on our cluster.

There's just a little bit of work we need to do tie everything together.

## Our g16 input file

We should tweak the .com file that we were working on last section. First, we'll get rid of the link 0 commands since we can easily (and more reliably) pass them to Gaussian as environment variables. Next, we'll run a geometry optimization and frequency analysis instead of just calculating the energy.

A frequency analysis should always accompany geometry optimizations to verify that the final geometry is a global minimum on the potential energy surface (i.e., a true ground state), instead of a saddle point.

To do this we'll specify the following keywords:

#N M062X/def2svp	! use the m06-2x functional with def2svp basis set
OPT	! conduct a ground state geometry optimization
FREQ=NoRaman	! conduct a frequency analysis of the optimized geometry
! do not	calculate Raman stretches (reduces computation time by $\sim 30\%$ )
temperature=273.15	! standard temperature
<pre>Integral(Grid=UltraFine)</pre>	! use an ultrafine integration grid (99,590)

So our full input should look like:

```
1 #N M062X/def2svp OPT FREQ=NoRaman temperature=273.15 Integral(Grid=UltraFine)
2
3 eqMe-cyclohexane
4
5 0 1
6 C
7 C 1 B1
8 C 2 B2 1 A1
C 3 B3 2 A2 1 D1 0
```

9	
10	C 4 B4 3 A3 2 D2 0
11	C 1 B5 2 A4 3 D3 0
12	H 3 B6 2 A5 1 D4 0
13	H 2 B7 1 A6 6 D5 0
14	H 1 B8 6 A7 5 D6 0
15	H 1 B9 6 A8 5 D7 0
16	H 4 B10 3 A9 2 D8 0
17	H 4 B11 3 A10 2 D9 0
18	H 5 B12 4 A11 3 D10 0
19	H 5 B13 4 A12 3 D11 0
20	H 6 B14 1 A13 2 D12 0
21	H 6 B15 1 A14 2 D13 0
22	H 3 B16 2 A15 1 D14 0
23	C 2 B17 1 A16 6 D15 0
24	H 18 B18 2 A17 1 D16 0
25	H 18 B19 2 A18 1 D17 0
26	H 18 B20 2 A19 1 D18 0
27	
28	B1 1.51510600
29	B2 1.51517951
30	B3 1.51543497
31	B4 1.51512522
32	B5 1.51498514
33	B6 1.12087116
34	B7 1.12176819
35	B8 1.12177478
36	B9 1.12093060
37	B10 1.12176062
38	B11 1.12091101
39	B12 1.12095758
40	B13 1.12181557
41	B14 1.12176019
42	B15 1.12097986
43	B16 1.12168148
44	B17 1.54000000
45	B18 1.07000000
46	B19 1.07000000
47	B20 1.07000000
48	A1 111.36252447
49	A2 111.24127560
50	A3 111.26574203

51	A4	111.30936835	
52	A5	109.59001104	
53	A6	109.39681635	
54	Α7	109.40740597	
55	A8	109.57492433	
56	A9	109.41106707	
57	A10	109.58676541	
58	A11	109.55885178	
59	A12	109.38713435	
60	A13	109.41082787	
61	A14	109.56859847	
62	A15	109.42519797	
63	A16	109.55953690	
64	A17	109.47120255	
65	A18	109.47120255	
66	A19	109.47123134	
67	D1	55.25714299	
68	D2	-55.23663791	
69	D3	-55.19282652	
70	D4	176.59526965	
71	D5	65.84971766	
72	D6	-65.94975705	
73	D7	176.44368868	
74	D8	65.79362957	
75	D9	-176.57422515	
76	D10	176.61579480	
77	D11	-65.80639557	
78	D12	-65.96332262	
79	D13	176.42532586	
80	D14	-65.75731388	
81	D15	-176.56184324	
82	D16	-178.76723016	
83	D17	-58.76721537	
84	D18	61.23277724	
85			
86			
eqM	eCyhex.com ho	osted with 🎔 by GitHub	view raw

Let's save it into a folder called eqMeCyclohexane and start working on our SLURM script.

## SLURM job script

Gaussian16 is slightly more resource intensive than our hello.sh script, so we'll need to be a bit better at requesting resources from SLURM than the minimal requests we made in the last exercise. In your myFristG16Job make a new submission script with the following resource allocation request:

```
#SBATCH -p chemq
                                         # submit to partition: chemq
#SBATCH -J eqMeCyhex
                                         # job name
#SBATCH -o %x oe
                                         # name of stdout/stderr file
#SBATCH -N 1
                                         # total number of nodes requested
#SBATCH --ntasks-per-node=16
                                         # total number of tasks requested
                                         # assign at least 16 CPUs from each node
#SBATCH --mincpus=16
#SBATCH --mem=0
                                         # allocate all of the node's available memory
#SBATCH -t 240:00:00
                                         # max run time (hhh:mm:ss)
#SBATCH --mail-type=ALL
                                         # send emails at job START/END/FAIL
#SBATCH --mail-user=<yourNetID>@cornell.edu
```

n.b. The %x in the -o option line is a SLURM variable for the job name.

Now, specify the job details:

g16root=/software	<pre># the g16.profile file defines the g16</pre>
. \$g16root/g16/bsd/g16.profile	<pre># defaults; ask admin for its location</pre>
export GAUSS_SCRDIR=/scratch	
export GAUSS_CDEF='0-15'	<pre># see https://gaussian.com/link0/ for</pre>
export GAUSS_MDEF='28GB'	<pre># environment variable definitions</pre>
export GAUSS YDEF='eqMeCyhex.chk'	

The last four lines define environment variables for g16; these are equivalent to setting link 0 commands directly in the .com file, but any link 0 commands specified in the .com file will override those passed to Gaussian as environmental variables or command-line options.<sup>1</sup>

Recall that the memory and CPU assignments requested by g16 must be at most those requested from the SLURM resource daemon, otherwise the job will fail due it insufficient resources.

The last thing left to do is to call the program. To run g16 call the executable with /path/to/g16 <inputFile> <outputFileName> (by convention, Gaussian output files have the ending .log):

\$g16root/g16/g16 eqMeCyhex.com eqMeCyhex.log
# on AS-CHEM the g16 program is located at /software/g16/g16 on other clusters
# it may not be in the same place. Ask your system admin for its location!

At this point we have everything we need. The rest of our job details will be read into g16 from the input file. Our full job script should look like:

1	#!/bin/bash		
2			
3	#SBATCH -p chemq	<pre># submit to partition: chemq</pre>	
4	#SBATCH –J eqMeCyhex	# job name	
5	#SBATCH -o %x_oe	<pre># name of stdout/stderr file</pre>	
6	#SBATCH -N 1	<pre># total number of nodes requested</pre>	
7	#SBATCHntasks-per-node=16	<pre># total number of tasks requested</pre>	
8	#SBATCHmincpus=16	<pre># assign at least 16 CPUs from each node</pre>	
9	#SBATCHmem=0	<pre># allocate all of the node's available memory</pre>	у
10	#SBATCH -t 240:00:00	<pre># run time (hhh:mm:ss)</pre>	
11	#SBATCHmail-type=ALL	<pre># send emails at job START/END/FAIL</pre>	
12	#SBATCHmail-user= <yournetid>@cornel</yournetid>	l.edu	
13			
14	g16root=/software	<pre># the g16.profile file defines the g16</pre>	
15	. \$g16root/g16/bsd/g16.profile	<pre># defaults; ask admin for its location</pre>	
16	<pre>export GAUSS_SCRDIR=/scratch</pre>		
17	<pre>export GAUSS_CDEF='0-15'</pre>	<pre># see https://gaussian.com/link0/ for</pre>	
18	<pre>export GAUSS_MDEF='28GB'</pre>	<pre># environment variable definitions</pre>	
19	<pre>export GAUSS_YDEF='eqMeCyhex.chk'</pre>		
20			
21	<pre>\$g16root/g16/g16 eqMeCyhex.com eqMeCyhe</pre>	ex.log	
g16r	un.sh hosted with 🎔 by GitHub		view raw

Save it into the same folder as eqMeCyhex.com and now let's go submit it!

## Submitting our first Gaussian job

In FileZilla connect to the CHEM cluster and drag your whole eqMeCyclohexane folder from your computer to the cluster's home directory. cd into that folder and make sure everything's where it should be.

```
nml64@as-chm-cluster | \sim $ cd eqMeCyclohexane/
nml64@as-chm-cluster | \sim/eqMeCyclohexane $ ls
eqMeCyclohex.com g16run.sh
```

Submit the job with sbatch. Its usually a good idea to make sure that the job has started properly. When Gaussian jobs fail they typically fail in the first 20 seconds (usually due to a FileIO issue, syntax errors, or insufficient resources) so checking that the job is running smoothly prevents you from coming back later just to realize that your job was killed after 7 seconds because you spelled the input filename incorrectly. If we look at the files in our directory while the job is running, we see three new files our checkpoint file eqMeCyhex.chk, our Gaussian output file eqMeCyhex.log, and our SLURM output file eqMeCyhex\_oe.

```
nml64@as-chm-cluster | ~/eqMeCyclohexane $ sbatch g16run.sh
Submitted batch job 8721
nml64@as-chm-cluster | ~/eqMeCyclohexane $ squeue
            JOBID PARTITION
                                NAME
                                         USER ST
                                                       TIME NODES NODELIST(REASON)
             8721
                      chemq eqMeCyhe
                                        nml64 R
                                                       0:36
                                                                 1 chem005
nml64@as-chm-cluster | ~/eqMeCyclohexane $ ls
eqMeCyhex.chk eqMeCyhex.com eqMeCyhex.log eqMeCyhex_oe g16run.sh
nml64@as-chm-cluster | ~/eqMeCyclohexane $ ls
eqMeCyhex.chk eqMeCyhex.com eqMeCyhex.log eqMeCyhex_oe fort.7 g16run.sh
```

#### fort.7

After the job is completed we see another file fort.7. After a job finishes [Gaussian] "punches out" (the parlance comes from a time when computers used punched cards as fileIO)<sup>2</sup> a separate output for the results of that calculation. The output can be specified using the Punch keyword, however [Gaussian] punches a new fort.7 file at each termination. In our combined optimization/frequency calculation the program terminates twice: once following the optimization and again after the vibrational frequency analysis. So, in a combined job you get only the punch out for the final calculation.

#### **Checking for successful termination**

We can do a quick check to make sure our job finished correctly using tail.

```
nml64@as-chm-cluster | ~/eqMeCyclohexane $ tail eqMeCyhex.log
... THE UNIVERSE IS NOT ONLY QUEERER THAN WE SUPPOSE,
BUT QUEERER THAN WE CAN SUPPOSE ...
-- J. B. S. HALDANE
Job cpu time: 0 days 0 hours 27 minutes 52.9 seconds.
Elapsed time: 0 days 0 hours 1 minutes 46.1 seconds.
File lengths (MBytes): RWF= 66 Int= 0 D2E= 0 Chk= 5 Scr= 1
Normal termination of Gaussian 16 at Mon Dec 27 13:06:58 2021.
```

```
tail -n prints the last n lines (by default n is 10) of the file to the console. The first two lines tell us how long the job took, the third tells us the size of the job's scratch files, and the final line informs
```

us that Gaussian terminated without error. Additionally, if your job terminates successfully Gaussian will print you a quote. If the job had failed the output tail would look something like the code bloc below. Different reasons for failure will produce different looking outputs but all failed jobs will produce an Error termination message.

```
nml64@as-chm-cluster | ~/.../NaTMIPS/A3_eee_tol $ tail eqMeCyhex.log
Error on total polarization charges = *******
SCF Done: E(RM062X) = -8076.68013868
                                           A.U. after 129 cycles
           NFock=128 Conv=0.45D-02
                                        -V/T = 7.5222
SMD-CDS (non-electrostatic) energy
                                        (kcal/mol) =
                                                           -2.87
 (included in total energy above)
Convergence failure -- run terminated.
Error termination via Lnk1e in /software/g16/l502.exe at Sat Dec 18 17:05:50 2021.
Job cpu time:
                   14 days 5 hours 4 minutes 13.4 seconds.
                   1 days 5 hours 16 minutes 45.2 seconds.
Elapsed time:
File lengths (MBytes): RWF=
                                958 Int=
                                              0 D2E=
                                                          0 Chk=
                                                                    45 Scr=
                                                                                 1
```



In the next section, we'll go over how to examine the output file and parse it for the important thermochemical data.

#### References

(1) Gaussian link 0
(2) Punched Card
(3) The Gaussian Punch keyword

# 8. Understanding the Gaussian output file

For easier analysis, let's drag all of our files back onto our personal laptop using FileZilla. (If you want you can try to read the Gaussian .log file in the terminal, but you'll soon see why that's not going to scale well.)

Local site:         // Users/mil64/Documents/Computations/ShortCourse/eqMeCyclohe         Remote site:         // users/collum/nmil64/ShortCourse/eqMeCyclohexane         Image: ShortCourse image: Short	#30 ₩ 0 ₩	AS-CHM-Cluster - sftp://nml64@c	luster2020.chem.cornell.edu - FileZilla 🎯 🔥	
Schenk Ene Run - Practice         Image: Schenk Ene Run - Practice           BontCourse         BontCourse           BadkCyclotexane         Image: Schenk Ene Run - Practice           Image: Schenk Ene Run - Practice         BontCourse           BadkCyclotexane         Image: Schenk Ene Run - Practice           Image: Schenk Ene Run - Practice         Image: Schenk Ene Run - Practice           Image: Schenk Ene Run - Practice         Image: Schenk Ene Run - Practice           Image: Schenk Ene Run - Practice         Image: Schenk Ene Run - Practice           Image: Schenk Ene Run - Practice         Image: Schenk Ene Run - Practice           Image: Schenk Ene Run - Practice         Image: Schenk Ene Run - Practice           Image: Schenk Ene Run - Practice Schenk Ene Run - Practice         Image: Schenk Ene Run - Practice           Image: Schenk Ene Run - Practice Schenk Ene Run - Practing Ene Run - Practice Schenk Ene Run - Practice Schenk Ene Run	Local site: /Users/nml64/Documents/Computatio	ns/ShortCourse/eqMeCyclohe 🔽	Remote site: /users/collum/nml64/ShortCourse	e/eqMeCyclohexane
Filesize         Last modifier           "glforun.sh         1,120         12/27/201           ort.7         27,589         12/27/201           eqMeCyhex_oe         0         12/27/202           eqMeCyhex.orn         2,152         12/27/201           eqMeCyhex.orn         2,152         12/27/202           eqMeCyhex.orn         2,152         12/27/202           eqMeCyhex.orn         2,152         12/27/202           eqMeCyhex.orn         6,148         12/27/202           ornow         6,148         12/27/202           eqMeCyhex.orn         6,148         12/27/202           ornow         6,148         12/27/202           ornow         6,148         12/27/202           ornow         6,148         12/27/202           ornow         6,148         12/27/202           DS_Store         6,148         12/27/202	Schenk Ene Rxn - Practice ShortCourse axMeCyclohexane	0	RAW_SMD_TS Course AxMeCyclohexane Course Cou	
n       n       n       1,120       12/27/202         fort.7       27,589       12/27/202       eqMeCyhex.coe       0       12/27/202         eqMeCyhex.coe       0       12/27/202       eqMeCyhex.coe       0       12/27/202         eqMeCyhex.com       2,152       12/27/202       eqMeCyhex.com       2,127/202       eqMeCyhex.com       2,127/202         eqMeCyhex.cok       5,242,880       12/27/202       eqMeCyhex.com       2,152       12/27/202         DS_Store       6,148       12/27/202       .DS_Store       6,148       12/27/202         DS_store       6,148       12/27/202       .DS_Store       6,148       12/27/202         7 Hes. Total size: 5,581,937 bytes       7 Hies. Total size: 5,581,937 bytes       7       1       1       1	Filename 🗸	Filesize Last modi	Filename V	Filesize Last modifie
7 files. Total size: 5,581,937 bytes 7 files. Total size: 5,581,937 bytes	 g16run.sh fort.7 eqMeCyhex.log eqMeCyhex.com e eqMeCyhex.chk .DS_Store	1,120 12/27/20 27,589 12/27/20 0 12/27/20 302,048 12/27/20 5,242,880 12/27/20 6,148 12/27/20	g16run.sh fort.7 eqMeCyhex_oe eqMeCyhex.log eqMeCyhex.com eqMeCyhex.chk .DS_Store	1,120 12/27/2021 27,589 12/27/2021 302,048 12/27/2021 2,152 12/27/2021 5,242,8 12/27/2021 6,148 12/27/2021
	7 files. Total size: 5,581,937 bytes		7 files. Total size: 5,581,937 bytes	

We won't use the fort.7 file and eqMeCyhex\_oe has a total size of 0 bytes (i.e., there's nothing written in it) so for the sake of cleanliness we can delete those. First, let's look at our optimized structure to make sure the final geometry makes chemical sense. Open eqMeCyhex.log in GaussView (download it from the code repo if you're not following along).

If you're using GaussView5 with Gaussian16 you'll most likely run into this error:



Don't panic, this issue occurs because g16 writes some extra information in the output file that GaussView5 doesn't know how to handle. Use this script provided by Dr. Davor Šakić from the University of Zagreb to generate a output file that GaussView5 can read.

GaussView shows us a perfectly normal equatorial methylcyclohexane.



You should always check your structures to make sure they are generally expected since not all *mathematical* solutions are *physical* ones. Sometimes our jobs will give us chemically nonsensical solutions simply because the algorithm found a particular energy well that it couldn't get out of.

The computers are here to do your math, not your thinking.

Fantastic, let's grab some numbers. One of the first things we noticed is that eqMeCyhex.log is just a *really* long text file that GaussView5 is able to generate a picture from. So, open eqMeCyhex.log in a text editor (or follow along in another window using the link above) and we search the output for energies and vibrational/thermochemical data.

First we'll want to check for imaginary (negative) vibrational frequencies which indicate saddle point structures. Search eqMeCyhex.log for Harmonic frequencies :

Harmonic frequenc	:ies (cm∗∗−1), ]	IR intensities (KM/Mole), Ra	man scattering		
activities (A**4	/AMU), depolar:	ization ratios for plane and	unpolarized		
incident light,	reduced masses	(AMU), force constants (mDy	ne/A),		
and normal coord	linates:				
	1	2	3		
	Α	А	А		
Frequencies	159.1459	229.6806	248.3997		
Red. masses	2.2917	1.2669	1.3457		
Frc consts	0.0342	0.0394	0.0489		
IR Inten	0.0025	0.0069	0.0006		

Gaussian prints all vibrational frequencies in the output in *ascending order* so we only need to check the first entry to ensure that all our vibrational frequencies are real.

Next, we'll calculate the energy for our optimized structure. At this point, I **highly recommend** that you read this technical document from Dr. Joseph Ochterski about thermochemistry in Gaussian.<sup>1</sup>

It describes how Gaussian calculates various thermochemical values and their proper usage in computing  $\Delta G_{rxn}$ . Searching eqMeCyhex.log for correction produces:

•••	
Zero-point correction=	0.198783 (Hartree/Particle)
Thermal correction to Energy=	0.204789
Thermal correction to Enthalpy=	0.205654
Thermal correction to Gibbs Free Energy=	0.171472
Sum of electronic and zero-point Energies=	-274.642438
Sum of electronic and thermal Energies=	-274.636431
Sum of electronic and thermal Enthalpies=	-274.635566
Sum of electronic and thermal Free Energies=	-274.669748

With most *ab initio* methods absolute energies of molecular systems are calculated relative to free electrons and nuclei which is why they are large and negative.

By default, Gaussian reports energies in Hartree atomic units ( $E_h$  or A.U.):

 $1 - E_h = \frac{1}{m_e} - a_0^2 \ge 627.5 - \mbox{kcal mol}^{-1}$ The values we're interested in are:

Thermal correction to	Gibbs Free Energy=	0.171472
Sum of electronic and	thermal Free Energies=	-274.669748

The Thermal correction to Gibbs Free Energy is calculated by:

 $G_{corr} = E_{thermal} + \mathcal{K}_BT - TS_{total}$ 

The Sum of electronic and thermal Free Energies is the sum of the above Thermal correction and the electronic energy (also known as the single point energy since its the energy at a single point on the potential energy surface).<sup>2</sup> This

thermally-corrected single point energy is the value that should be used to calculate free energies of reaction ( $\Delta G_{rxn}$ ).

Gaussian calculates the single point energy of each intermediate geometry it generates during optimization as well as at the start of a vibrational frequency analysis. We can exploit this fact to save us from having to set up another calculation. To find the single point energy search eqMeCyhex.log for **the last occurrence** of SCF Done :

SCF Done: E(RM062X) = -274.841184603 A.U. after 9 cycles

With this value the relationship between these three quantities becomes clear.

SCF energy: E(RM062X)	=	-274.841184
Thermal correction to Gibbs Free Energy	=	0.171472
E(RM062X) + Thermal correction	=	-274.669748
Sum of electronic and thermal Free Energies	=	-274.669748

# Finat's all folks!!! >> You know everything you need to run your own Gaussian jobs!

In our final lesson we'll see how we can use Gaussian to calculate relative conformational energies.

#### A note on split basis calculations

It is common in large systems to use a smaller set of basis functions to find the optimized geometry (this is part of the Long Course) and then use a larger basis set to recalculate the single point energy. In this case the calculated Sum of electronic and thermal Free Energies and the thermally-corrected single point energy derived from the larger basis set **will not** be the same.

You must manually correct single point energies when running split-basis calculations.

#### References

- (1) Thermochemistry in Gaussian
- (2) Potential energy surface

# 9. Calculating cyclohexane A-values

The A-value of a substituent is the energy of the axial cyclohexane conformer relative to the equatorial conformer (i.e., the *isomerization energy*). In organic conformational analysis the A-value is used as the archetypal steric parameter.



In our last exercise we calculated the energy of equatorial methylcyclohexane:

eqMeCyhex:		
SCF energy: E(RM062X)	=	-274.841184 Eh
Thermal correction to Gibbs Free Energy	=	0.171472 Eh
E(RM062X) + Thermal correction Sum of electronic and thermal Free Energies	= =	-274.669748 Eh -274.669748 Eh

Let's see if you can do the same with the axial conformer. Take a quick break and see if you can set up and execute this calculation on your own.

If you're just following along or get stuck feel free to grab the files from the code repo.

axMeCyhex:			
SCF energy: E(RM062X)	=	-274.838661 Eh	
Thermal correction to Gibbs Free Energy	=	0.171734 Eh	
E(RM062X) + Thermal correction	=	-274.666928 Eh	
Sum of electronic and thermal Free Energies	=	-274.666928 Eh	

Now, you're probably not a physical chemists if you're on this page, so let's convert these numbers to a more common unit and calculate our A-value (remember  $1 E_h \approx 627.5 \text{ kcal mol}^{-1}$ ):

A-value = deltaG = E(axMeCyhex) - E(eqMeCyHex)	=	1.77 kcal/mol
<pre>axMeCyhex: E(RM062X) + Thermal correction</pre>	=	–172353.50 kcal/mol
eqMeCyhex: E(RM062X) + Thermal correction	=	–172355.27 kcal/mol

So we get a relative energy of 1.77 kcal mol<sup>-1</sup>, which is in excellent agreement with the literature values for the A-value of a methyl group.<sup>2</sup>

These are the kinds of comparisons that underscore much of computational organic chemistry. Even computations of complex mechanistic pathways are reducible to calculations of relative energies.

For more practice, try calculating other A-values and checking them with their experimental values. Then, when you feel like you're ready, give this problem a shot.

#### References

- (1) IPUAC Gold Book: A-value
- (2) The Reich Collection: A-values

# **Problems**

# The smelly dimer problem

Cyclopentadiene is a fairly common reagent in both organic and organometallic synthesis, however its use is complicated by its facile dimerization into dicyclopentadiene *via* a Diels-Alder cycloaddition.



Your PI Prof. Batman and his lab manager Dr. Robin ask if you could use your newfound computational skills to study this reaction. In particular, they'd like to know:

- The relative energies of the exo- and \*endo-\*dicyclopentadiene isomers,
- The free energy of dimerization ( $\Delta G_{rxn}$ ), and
- The kinetic product<sup>1</sup> of the reaction (unless you've done completed the Long Course you won't be able to do this one just yet)

See if you can do this one yourself!

Check your work with the solution in the code repo or literature values.<sup>2,3,4</sup>

#### References

- (1) Thermodynamic versus kinetic reaction control
- (2) Dicyclopentadiene
- (3) J. Loss Prev. Process Ind. 2016, 44, 433-439
- (4) Ind. Eng. Chem. Res. 2019, 58 (50), 22516-22525

# The E-Z isomer problem

Your advisor wants you to compute ground state energies for the two isomers of 3-(4-nitrophenyl)but-2-en-2-yl triflate. First, run a standard optimization/frequency calculation for the isomers at the M062X/def2svp level of theory. As always, you can check your work at the code repo.





(Z)-3-(4-nitrophenyl)but-2-en-2-yl triflate

(E)-3-(4-nitrophenyl)but-2-en-2-yl triflate

Do not wait for these calculations to finish. Even running on the cluster, they will take a while. The best thing to do is to submit them and then go set up a reaction or something. If you're running on the chem nodes you can expect these jobs to take around 1 to 2 hours.

## 1) Specifying different built-in basis sets for different atoms

You take your results back to your advisor who doesn't seem very satisfied. They tell you to make sure the substituents are right by beefing up the basis set on the heteroatoms.

Modify your calculations to use the triple  $\zeta$  basis set def2tzvp on all heteroatoms and def2svp on C and H. Give it a shot before checking your input files against those at the code repo.

## 2) Assigning built-in basis sets to individual atoms

Still unhappy with the results, your advisor tells you to re-run the computations, but this time placing diffuse basis functions on **just the nitro group atoms**. The basis set def2tzvpd which has the diffuse functions added to def2tzvp isn't built in to Gaussian so you figure that another similarly large basis set with diffuse functions aug-cc-pvtz that is built-in would work just as well.

Run your computations again, this time use aug-cc-pvtz to describe the N and only the two O of the nitro group. Keep everything else the same i.e.,

- C H: def2svp
- SFO(trifyl): def2tzvp
- N O(nitro): aug-cc-pvtz

# 3) Incorporating external basis sets into Gaussian calculations

After a few second guesses, you're unsure of whether or not aug-cc-pvtz is really a suitable substitute for def2tzvpd; you also realize that your computations are taking quite a while, and the other group members are starting to get upset that you're hogging the new compute nodes. You decide to try using def2tzvpd instead. Re-run your calculations, this time use def2tzvpd instead of aug-cc-pvtz to describe the N and *only the two O of the nitro group*. Keep everything else the same i.e.,

- C H: def2svp
- SFO(trifyl): def2tzvp
- N O(nitro): def2tzvpd

Remember that def2tzvpd is not built into g16 so you'll have to get the basis set from the Basis Set Exchange.<sup>1</sup> There are two ways to accomplish this task; see if you can figure them both out before going to the code repo!

Hint: These are the diffuse functions from def2tzvpd

N 0					
S	1 1.00				
	0.68441605847D-01 1.0000000				
D	1 1.00				
	0.12829642058	1.0000000			
****					
00					
S	1 1.00				
	0.70288026270D-01	1.0000000			
Р	1 1.00				
	0.51112745706D-01	1.0000000			
D	1 1.00				
	0.14696477366	1.0000000			

## Key takeaways

Once your jobs have finished, extract the corrected energies from your results. I've placed mine in the table below if you're just following along (the input/output files are available in the code repo).

Using these energies can you justify the product distribution observed in the triflation of 3-(*p*-nitro)phenyl-2-butanone (products 5g/6g) in this paper?<sup>2</sup>

Basis Set	Isomer	Energy / kcal mol <sup>-1</sup>	ΔG(E<->Z) / kcal mol <sup>-1</sup>	Total Computation Time / min
def2svp(all)	E Z	-973863.597 -973862.450	1.147	37 47
def2tzvp (SNOF) def2svp (CH)	E Z	-974540.402 -974539.491	0.911	87 92

Basis Set	Isomer	Energy / kcal mol <sup>-1</sup>	ΔG(E<->Z) / kcal mol <sup>-1</sup>	Total Computation Time / min
aug-cc-pvtz (nitro) def2tzvp (trifyl) def2svp (CH)	E Z	-974540.579 -974539.693	0.886	120 134
def2tzvpd (nitro) def2tzvp (trifyl) def2svp (CH)	E Z	-974542.096 -974541.205	0.891	99 110

There are some key takeaway from the data above:

- 1. The answer never formally changes. In all cases the *E* isomer, as we expect, is more stable than the *Z* isomer.
- 2. The caveat is that depending on our choice of basis set, we **do** see changes in the relative energies of the two species; namely, the relative energies tend converge with increasing basis set size.
- 3. Nevertheless, its important to not lose sight of the forrest in the trees. Look again in the predicted relative energies. In the "worst" case we there is a 1.15 kcal mol<sup>-1</sup> difference between the isomers; in the "best" case, only 0.89 kcal mol<sup>-1</sup>. The difference in these two predictions is a mere 0.25 kcal mol<sup>-1</sup>; it is simple to use this as justification for more computationally intensive calculations, however consider for a second the *experimental* implications of this value. A reaction under control of a 1.15 kcal mol<sup>-1</sup> ΔΔG would predict 11% minor product formation, while one with a 0.89 kcal mol<sup>-1</sup> ΔΔG would predict 16% of the minor product; barely something to split hairs over.
- 4. Computational time, while relatively cheap, is not free. The difference in relative energy that comes from using the diffuse augmented basis sets is a whopping 0.025 kcal mol<sup>-1</sup> (or 25 *thousandths of a kcal*). If this number seems small to you now, consider it in the context of the computational time.

Augmenting just three atoms in our molecule with the diffuse functions of aug-cc-pvtz increased our total computational time from 87 min to 120 min, a 40% increase in resources. All for 0.025 kcal mol<sup>-1</sup>. Realizing that a  $\Delta\Delta G$  of 0.025 kcal mol<sup>-1</sup> erodes a selectivity by less than 1%, it seems a little silly. Note that DFT scales in cubic time ( $\mathcal{O}(n_e^3)$ ) with respect to the number of electrons in your system so as the size of your molecule increases this issue will only get much worse.<sup>3</sup> Take a look at this paper for a discussion on the necessity of diffuse functions.<sup>4</sup>

Computational chemistry is all about choosing which assumptions to make because all models must make assumptions, i.e., **there is no free lunch**. In the most rigorous sense we can, we are always searching for the *good enough* method that balances *chemical accuracy* with *computational cost*.

#### Resources

#### (1) Basis Set Exchange

(2) Vinyl cations. 12. Mechanism of reaction of cis- and trans-3-phenyl-2-buten-2-yl triflates. Evidence for vinylidene phenonium ions by Peter J. Stang and Thomas E. Dueber *J. Am. Chem. Soc.* 

#### 1977, 99 (8), 2602

(3) Max Hutchinson on CompSci Stack Exchange

(4) Is the Use of Diffuse Functions Essential for the Properly Description of Noncovalent Interactions Involving Anions? by Antonio Bauzá, David Quiñonero, Pere M. Deyà, and Antonio Frontera *J. Phys. Chem. A* **2013**, *117* (12), 2651

# Resources

# g16 routing line templates

This section provides general templates for the most common Gaussian jobs. Minimal explanation is provided and **it is strongly advised** that you read the respective sections for these calculations.

To run any of the optimizations below using Gaussian's generalized internal coordinates give OPT the GIC keyword.

#### **Split-basis calculations**

In all cases a split basis set has been utilized to reduce computational costs as our group typically works on relatively large systems. In the following "Basis Set (HL/LL)" refer to the high- and low-level basis sets, respectively. If your systems are small enough or computational resources are considerable enough to treat the entirety of the system with a single basis set then that approach is preferable. As we've covered already, a final single point energy calculation is redundant in this case.

N.b. SCF energies computed using two different basis sets are incomparable.

# Ground State Geometry Optimizations/Energy Calculations

#### Step 1: Optimize a ground state geometry

#N Level of Theory/Basis Set (LL) OPT FREQ=NoRaman temperature=Temperature Integral(Grid=UltraFine)

#### Step 2: Calculate ground state single point energy

#N Level of Theory/Basis Set (HL) SP Integral(Grid=UltraFine)

# **Transition State Optimizations/Energy Calculations**

#### Step 1: Optimization around the active atoms

#N Level of Theory/Basis Set (LL) OPT=(TS,CalcFC,ModRedundant,NoEigenTest)

Then, at the end of the input file, add: B [Atom 1 number] [Atom 2 number] F Where atoms 1 and 2 will be frozen in the geometry optimization e.g. B 74 94 F

N.b. there are spaces between each parameter and the next.

#### If optimizing using Generalized Internal Coordinates (GIC)

#N Level of Theory/Basis Set (LL) OPT=(TS,CalcFC,AddGIC,NoEigenTest)

At the end of the input file, add: CoordinateName(freeze)=R(Atom 1 number, atom 2 number) Where atoms 1 and 2 will be frozen in the geometry optimization e.g. BrC(freeze)=R(54,46)

N.b. all coordinates must have a unique name

#### Step 2: Geometry optimization of the active atoms

#### Step 3: Calculation of single point transition state energies

#N Level of Theory/Basis Set (HL) SP Integral(Grid=UltraFine)

# **QST Transition State Optimizations/Energy** Calculations

# Step 1: Optimize ground state geometries for reactant ensemble and product ensemble

If using QST3, also optimize the best guess for the transition structure.

```
#N Level of Theory/Basis Set (LL) OPT FREQ=NoRaman
temperature=Temperature Integral(Grid=UltraFine)
```

#### **Step 2: Quasi-Newton Transition Structure Search**

It is strongly advised to save a checkpoint file for these calculations as you'll need it for the intrinsic reaction coordinate calculation to verify the optimized structure.

#N Level of Theory/Basis Set (LL) OPT=(QST2/QST3) FREQ=NoRaman temperature=Temperature Integral(Grid=UltraFine)

#### Step 3: Calculation of single point transition state energies

#N Level of Theory/Basis Set (HL) SP Integral(Grid=UltraFine)

# Intrinsic Reaction Coordinate (IRC) calculation for verification of transition structures

An IRC requires initial force constants to proceed. The easiest way to do this is to use the ones in the checkpoint file from the previous frequency calculation using option rcfc, but if you didn't save the checkpoint file from the TS optimization then pass the option calcfc to calculate force constants at the beginning of the calculation.

#N Level of Theory/Basis Set (LL) IRC=(rcFC/calcFC)
temperature=Temperature Integral(Grid=UltraFine)

# A collection of papers/webpages/ blogs/lecture slides/etc... that I've amassed over the years (in no particular order)

The Basis Set Exchange: A public library of basis sets maintained by MolSSI (Virginia Tech) and Environmental Molecular Sciences Laboratory (PNNL) Read more about it!

J.C. Corchado and D.G. Truhlar on Dual-Level Methods for Electronic Structure Calculations

Frank Jensen talking about the Pople basis sets on Stack Exchange

Seminar slides from Mikael Johansson of the University of Helsinki on wave function methods and DFT

Thirty years of density functional theory in computational chemistry: an overview and extensive assessment of 200 density functionals by Narbe Mardirossian and Martin Head-Gordon *Molecular* 

#### Physics, 2017, 115 (19), 2315

This review provides a comprehensive benchmarking of over 200 DFT functionals over several datasets representing different computational datatypes.

Is the Use of Diffuse Functions Essential for the Properly Description of Noncovalent Interactions Involving Anions? by Antonio Bauzá, David Quiñonero, Pere M. Deyà, and Antonio Frontera

J. Phys. Chem. A 2013, 117 (12), 2651

Discussion on the necessity of diffuse basis functions in anionic calcualtions

#### Common Gaussian error messages

The blog of Dr. Joaquin Barroso-Flores (Instituto de Química, UNAM, Mexico City, MX) Incredibly helpful for general troubleshooting

Group meeting slides from Steven McKerrall (Baran Lab, TSRI, CA, USA)

Computational Chemistry 2 by Prof. Hendrik Zipse (LMU, Munich, DE) Advanced topics in computational chemistry